



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,410	04/04/2001	Paul A. Stirpe	

Thomas A. O'Rourke  
Wyatt, Gerber & O'Rourke, L.L.P.  
99 Park Avenue  
New York, NY 10016



CONFIRMATION NO. 5022

## FORMALITIES LETTER



\*OC000000006110541\*

Date Mailed: 05/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$81.  
    ■ \$81 for 9 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 501.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*

09826410-01492860

07/31/2001 09826410  
01 FC:201  
02 FC:205  
03 FC:203  
355.00 OP  
65.00 OP  
81.00 OP

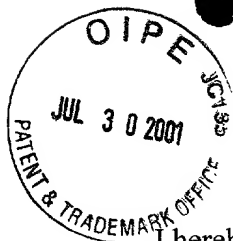
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0982640-070001



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CERTIFICATE OF MAILING

I hereby certify that the foregoing Response was mailed by first class mail, postage prepaid, in an envelope addressed to the Hon. Commissioner of Patents and Trademarks, Washington D.C. 20231, this 24<sup>th</sup> day of July, 2001.

  
Thomas A. O'Rourke

0926410-0749260

Sector §



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Stirpe, et al  
SERIAL NO.: 09/826,410  
FILED: April 4, 2001  
FOR: System, Method and Applications for Knowledge Commerce

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF APPLICATION- FILING DATE GRANTED

In response to the "Notice to File Missing Parts of Application -- Filing Date Granted," mailed April 24, 2001, applicant hereby submits the following:

1. Check for \$501.00, representing the small entity filing fee;
2. Declaration and Power of Attorney For Patent Application;
3. A copy of the "Notice to File Missing Parts of Application -- Filing Date Granted.
4. Abstract

Respectfully submitted,



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09/826,410-073001